

UNIVERSITY OF GUANAJUATO

**Determining the Initial Mass  
Function, Star Formation Rate  
and local Stellar Kinematics**

by

Steven Dawson MPhys QTS

A thesis submitted in partial fulfillment for the  
degree of Science Doctor (Astrophysics)

in the

Division of Natural and Exact Sciences

Department of Astronomy

October 2009

# Declaration of Authorship

I, Steven Dawson, declare that this thesis titled, ‘Determining the Initial Mass Function, Star Formation rate and local Stellar Kinematics’ and the work presented in it are my own. I confirm that:

- This work was done wholly or mainly while in candidature for a research degree at this University.
- Where any part of this thesis has previously been submitted for a degree or any other qualification at this University or any other institution, this has been clearly stated.
- Where I have consulted the published work of others, this is always clearly attributed.
- Where I have quoted from the work of others, the source is always given. With the exception of such quotations, this thesis is entirely my own work.
- I have acknowledged all main sources of help.
- Where the thesis is based on work done by myself jointly with others, I have made clear exactly what was done by others and what I have contributed myself.

Signed:

---

Date:

---

*“If all I now understand was represented by the mass of the Earth, all there is to understand would be represented by the mass of the Universe..... Lets hope Dark Matter does not exist!”*

UNIVERSITY OF GUANAJUATO

*Abstract*

Division of Natural and Exact Sciences

Department of Astronomy

Doctor of Philosophy

by [Steven Dawson MPhys QTS](#)

## 0.1 En *Español*

En el presente trabajo, se determinó la Función Inicial de Masa (IMF, por sus siglas en Inglés) mediante el estudio de la cinemática y tasa de formación estelar de la vecindad solar. Esto se logró comparando muestras sintéticas y datos observacionales por medio de conteos de estrellas.

Muchos estudios previos, se habian concentrado sólomente en estrellas de Secuencia Principal (Binney & Aumer 2009, Cignoni et al. 2006), por tanto, información sensible a la edad embebida en estrellas más evolucionadas, se haba dejado de lado. Este hecho fue expuesto por Schröder & Pagel (2003), sin embargo, su estudio careció de información sobre la fracción de sistemas binarios y de la incompletez residual de su muestra. Con el advenimiento de nuevos datos, se puede mejorar en estas cuestiones.

La primera de las mejoras proviene del uso de un catálogo mucho más completo de estrellas binarias (Washington Double Star catalogue). Usando tal catálogo, se pueden determinar factores de corrección en los conteos de estrellas simples en casos de binarias no conocidas, las cuales incrementan su número a baja luminosidad y distancia. Esto nos permitió también probar la hipótesis que la fracción de binarias decrece en función de la masa primaria (Lada 2006).

La segunda mejora viene de una nueva reducción de los datos de Hipparcos (Van Leeuwen, 2007). Esto ha reducido errores de paralaje particularmente hacia estrellas rojas, lo cual permite reducir el sesgo de tipo Malmquist (Malmquist 1936) y por tanto nos permite sondear más estrellas evolucionadas en mayores volúmenes. El uso de mayores volúmenes nos brindan una mejor determinación de la IMF para estrellas de alta masa.

La tercera mejora viene de una relación derivada semi-empíricamente de la "escala de altura". Esto reduce el número de parámetros de entrada en los modelos y asegura que las muestras sintéticas tengan una mayor base científica.

Después de haber modelado la muestra local de estrellas visibles simples, fue posible entonces generar una muestra sintética de Enanas Blancas para comparación con una muestra casi completa de Enanas Blancas frías (Holberg et al 2008). Lo anterior nos permitió demostrar que a la muestra de Holberg le faltan enanas blancas y sistemas binarios no-resueltos. Otra posible interpretación de este hecho es que la fracción de binarias decrece como función de la edad debido a la fusión que tales sistemas sufren en su evolución. Esta teoría va encaminada de alguna forma a resolver un tema muy discutido (Weidemann 1977) que tiene que ver con el déficit de Enanas Blancas encontrado en cúmulos de estrellas en comparación con sus contra-partes en la Secuencia Principal.

## 0.2 In *English*

The Kinematics and Star Formation Rate of the solar neighbourhood have been re-established in order to better determine the Initial Mass Function. This has been accomplished by modeling synthetic samples of stars and comparing them to observational data using the star count method.

Many previous studies have concentrated solely on main sequence stars (Binney & Aumer 2009, Cignoni et al. 2006) and have thus neglected the age-sensitive information embedded within the evolved stars. This improvement has been researched by Schröder & Pagel (2003) however, they lacked information on the binary fraction and the residual incompleteness of their sample. With the advent of new data these issues can be improved upon.

The first such improvement comes from a much more complete catalogue of binary stars (Washington Double Star catalogue). From this we are able to determine the number of unrecognized binaries, which increase with lower luminosity and distance and make the appropriate corrections. This has further enabled us to test the hypothesis that the binary fraction decreased as a function of the primary mass (Lada 2006).

The second improvement has been a new reduction of the Hipparcos data (Van Leeuwen, 2007). This has reduced the parallax errors particularly towards the redder stars thus enabling a reduction in the Malmquist-type bias (Malmquist 1936), allowing for a larger volume containing more evolved stars to be probed. This larger volume enables a better determination of the IMF for large mass stars.

The third improvement comes from a semi-empirically derived scale height-age relationship. This reduces the number of input parameters to the models and ensures the synthetic samples to have a greater scientific basis.

After the local sample of visible single stars was modeled it was then possible to generate a synthetic sample of White Dwarfs for comparison with an almost

complete sample (Holberg et al 2008). By doing so it was possible to show that the Holberg sample is missing a number of cool White Dwarfs and unresolved binary systems. Another interpretation of this is that the binary fraction decreases as a function of age due to such systems merging during evolution. This theory goes some way to resolving the long standing issue (Weidemann 1977) of the deficit of White Dwarfs found in clusters when compared to their Main Sequence counterparts.



# *Acknowledgements*

I owe a great deal of thanks to my supervisor Dr Klaus-Peter Schröder, for his continued support, guidance and exceptional hospitality. Without his faith in me the completion of this Thesis would not have been possible. Thanks are also due to the rest of the department, particularly for Dr Roger Cozial for his continuous supply of cakes and also Juan Manuel for his patience with my poor Spanish and for showing me the more sociable side of studying.

Moving away from the department I would like to express gratitude to my parents for the continued support throughout the last 25 years. They have encouraged and challenged me every step of the way.

I would like to show appreciation to all the people I have encountered during studying. I have learned a great deal from all of you.

I owe a great deal to my long suffering partner, she has helped me achieve more than I ever thought possible.